

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/183,972	10/29/98	HAGEMAN	G UIA-027.01

PATENT GROUP  
FOLEY HOAG & ELIOT  
ONE POST OFFICE SQUARE  
BOSTON MA 02109



0242/1117

1-1799  
6 14 99

NOT ASSIGNED

3735

DATE MAILED: 11/17/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 2,460.00

- ☒ 1. The statutory basic filing fee is:
- ☒ missing.
  - ☐ insufficient.
- Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. Additional claim fees of \$ 1,540, including any multiple dependent claim fees, are required.
- \$ 984.00 for 12 independent claims over 3.
- \$ 286.00 for 13 dependent claims over 20.
- \$ 270.00 for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/01/1999 TLW11 00000408 09183972

01 FC:201 395.00  
02 FC:202 492.00  
03 FC:203 143.00  
04 FC:204 135.00  
05 FC:205 65.00

# TRANSMITTAL LETTER

## BOX MISSING PARTS

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231



Atty. Docket No.:

UIA-027.01  
(19735-2701)

*Sector*  
#

*Transmittal for Response to Notice to File Missing Parts, dated November 17, 1998:*

In re: U.S. Serial No.: 09/183,972  
Filing Date: October 29, 1998  
Applicant: HAGEMAN and KUEHN  
Title: *Therapeutics and Diagnostics for Ocular Abnormalities*


### Enclosed are:

- (X) Response to Notice to File Missing Parts (two originally-executed copies);
- (X) Part 2 - Copy of Notice to File Missing Parts of Application;
- (X) Declaration, Petition and Power of Attorney for Patent Application;
- (X) Check for \$1,230.00; and
- (X) Return mailroom postcard;

The Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1448 as described below.  
I have enclosed a duplicate copy of this sheet.

- ( ) Charge any necessary filing fees associated with this correspondence as required under 37 CFR 1.16 and 1.17.
- (x) Credit any overpayment or charge any deficiencies related to this submission.

Respectfully Submitted,

  
Diana M. Steel, D. Phil.  
Registration No. 43,153  
Agent for Applicant

Patent Group  
Foley, Hoag & Eliot LLP  
One Post Office Square  
Boston, MA 02109-2170  
Date: January 19, 1999

### Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231 on the date set forth below.

January 19, 1999  
Date of Signature  
and of Mail Deposit

By:   
Diana M. Steel

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HAGEMAN and KUEHN

Appln. No. 09/183,972

Art Unit: 3735

Filed: OCTOBER 29, 1998

Examiner: TO BE ASSIGNED

For: *Therapeutics and Diagnostics for  
Ocular Abnormalities*

Docket No.: UIA-027.01

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is an executed Declaration and an executed Verified Statement Claiming Small Entity Status for the above-referenced application. Also enclosed is a copy of the Notice to File Missing Parts and a check for \$1,230.00 to cover the Small Entity filing fee, extra claim fees, and the late filing surcharge.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our **Deposit Account No. 06-1448**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing these papers, the Examiner is invited to contact the undersigned at (617) 832-1272.

Respectfully submitted,

FOLEY, HOAG & ELIOT LLP

Jan. 19, 1999  
Date

Diana M. Steel  
Diana M. Steel, D. Phil.  
Reg. No. 43,153

Patent Group  
Foley, Hoag & Eliot LLP  
One Post Office Square  
Boston, MA 02109-2170

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on January 19, 1999.

Diana M. Steel  
Diana M. Steel